

Declaration and Power of Attorney for Patent Application
Erklärung für Patentanmeldungen mit Vollmacht
German Language Declaration

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides statt:

dass mein Wohnsitz, meine Postanschrift, und meine Staatsangehörigkeit den im Nachstehenden nach meinem Namen aufgeführten Angaben entsprechen.

dass ich, nach bestem Wissen, der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent beantragt wird für die Erfindung mit dem Titel:

METHOD AND DEVICE FOR THE PRODUCTION OF
A SILICON SINGLE CRYSTAL, SILICON SINGLE
CRYSTAL, AND SILICON SEMICONDUCTOR
WAFERS WITH DETERMINED DEFECT
DISTRIBUTIONS

deren Beschreibung
(Zutreffendes ankreuzen)

☒ hier beigelegt ist.
☐ am _____ unter der
Anmeldungsnummer _____
eingereicht wurde und am _____
abgeändert wurde (falls tatsächlich abgeändert).

Ich bestätige hiermit, dass ich den Inhalt der obigen Patentanmeldung, einschliesslich der Ansprüche, durchgesehen und verstanden habe, die eventuell durch einen Zusatzantrag wie oben erwähnt abgeändert wurde.

Ich erkenne meine Pflicht zur Offenbarung irgendwelcher Informationen, die für die Prüfung der vorliegenden Anmeldung in Einklang mit Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) von Wichtigkeit sind, an.

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäss Abschnitt 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 119, aller unten angegebenen Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde, und habe auch alle Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde nachstehend gekennzeichnet, die ein Anmeldedatum haben, das vor dem Anmeldedatum der Anmeldung liegt, für die Priorität beansprucht wird.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

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the specification of which
(check one)

☒ is attached hereto.
☐ was filed on _____ as
Application Serial No. _____
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a)

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

German Language Declaration

Prior foreign applications
Vorherige Anmeldungen

Priority Claimed
Priorität beansprucht

103 13 940.0 Germany 27 March 2003
(Number) (Country) (Day/Month/Year Filed)
(Nummer) (Land) (Tag/Monat/Jahr eingereicht)

☒ ☐
Yes No
Ja Nein

103 39 792.2 Germany 28 August 2003
(Number) (Country) (Day/Month/Year Filed)
(Nummer) (Land) (Tag/Monat/Jahr eingereicht)

☒ ☐
Yes No
Ja Nein

(Number) (Country) (Day/Month/Year Filed)
(Nummer) (Land) (Tag/Monat/Jahr eingereicht)

☐ ☐
Yes No
Ja Nein

Ich beanspruche hiermit gemäss Absatz 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 120, den Vorzug aller unten aufgeführten Anmeldungen, und falls der Gegenstand aus jedem Anspruch dieser Anmeldung nicht in einer früheren amerikanischen Patentanmeldung laut dem ersten Paragraphen des Absatzes 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 112 offenbart ist, erkenne ich gemäss Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a), meine Pflicht zur Offenbarung von Informationen an, die zwischen dem Anmeldedatum der früheren Anmeldung und dem nationalen oder PCT internationalen Anmeldedatum dieser Anmeldung bekannt geworden sind.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.) (Filing Date)
(Anmeldeseriennummer) (Anmeldedatum)

(Status) (Status)
(patentiert, anhängig, aufgegeben) (patented, pending abandoned)

(Application Serial No.) (Filing Date)
(Anmeldeseriennummer) (Anmeldedatum)

(Status) (Status)
(patentiert, anhängig, aufgegeben) (patented, pending abandoned)

Ich erkläre hiermit, dass alle von mir in der vorliegenden Erklärung gemachten Angaben nach meinem besten Wissen und Gewissen der vollen Wahrheit entsprechen, und dass ich diese eidesstattliche Erklärung in Kenntnis dessen abgebe, dass wissentlich und vorsätzlich falsche Angaben gemäss Paragraph 1001, Absatz 18 der Zivilprozessordnung der Vereinigten Staaten von Amerika mit Geldstrafe belegt und/oder Gefängnis bestraft werden koennen, und dass derartig wissentlich und vorsätzlich falsche Angaben die Gültigkeit der vorliegenden Patentanmeldung oder eines darauferteilten Patentes gefährden können.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

German Language Declaration

VERTRETUNGSVOLLMACHT: Als benannter Erfinder beauftrage ich hiermit den nachstehend benannten Patentanwalt (oder die nachstehend benannten Patentanwälte) und/oder Patent-Agenten mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Geschäfte vor dem Patent-und Warenzeichenamt:

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

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<p>1. Full name of sole or first inventor (Voller Name des einzigen oder ursprünglichen Erfinders) Wilfried VON AMMON</p>	<p>2. Full name of additional or joint inventor (if any) (Voller Name des Miterfinders) (falls zutreffend) Janis VIRBULIS</p>
<p>Inventor's Signature (Unterschrift des Erfinders) <i>Wilfried von Ammon</i></p> <p style="text-align: right;">Date (Datum) <i>04/03/2004</i></p>	<p>Additional inventor's signature (Unterschrift des Miterfinders) <i>Janis Virbulis</i></p> <p style="text-align: right;">Date (Datum) <i>12/03/2004</i></p>
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3. Full name of additional or joint inventors (if any) (Voller Name des Miterfinders) Martin WEBER	4. Full name of additional or joint inventor (if any) (Voller Name des Miterfinders) (falls zutreffend) Thomas WETZEL
Additional Inventor's Signature (Unterschrift des Miterfinders) <i>Martin Weber</i>	Additional inventor's signature (Unterschrift des Miterfinders) <i>Thomas Wetzel</i>
Date (Datum) 04.03.2004	Date (Datum) 04.03.2004
Residence (Wohnsitz) D-84556 Kastl, Germany	Residence (Wohnsitz) D-84533 Haiming, Germany
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5. Full name of additional or joint inventors (if any) (Voller Name des Miterfinders) Herbert SCHMIDT	6. Full name of additional or joint inventor (if any) (Voller Name des Miterfinders) (falls zutreffend)
Additional Inventor's Signature (Unterschrift des Miterfinders) <i>Herbert Schmidt</i>	Additional inventor's signature (Unterschrift des Miterfinders)
Date (Datum) 04.03.2004	Date (Datum)
Residence (Wohnsitz) D-84553 Halsbach, Germany	Residence (Wohnsitz)
Citizenship (Staatsangehörigkeit) Germany	Citizenship (Staatsangehörigkeit)
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PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of Conveying party(ies):

Wilfried VON AMMON, Janis VIRBULIS,
Martin WEBER, Thomas WETZEL and
Herbert SCHMIDTAdditional name(s) of conveying party(ies) attached? Yes ☒ No

2. Name and address of receiving party(ies):

Name: Siltronic AG

Internal Address: _____

Street Address: Hanns-Seidel-Platz 4City: D-81737 München Country: Germany Zip: _____Additional name(s) & address(es) attached? Yes ☐ No ☒

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____Execution Date: March 4, 2004, March 12, 2004, March 4,
2004, March 4, 2004 and March 4, 2004, respectively

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: March 4, 2004, March 12, 2004,
March 4, 2004, March 4, 2004 and March 4, 2004, respectively

A. Patent Application No.(s)

B. Patent No.(s)

03/29/2004 JADD01 00000018 10809070

04 FC:8021

40.00 0P

Additional numbers attached? Yes ☐ No ☒

5. Name and address of party to whom correspondence should be mailed:

Name: Collard & Roe, P.C.

Internal Address: _____

Street Address: 1077 Northern BoulevardCity: Roslyn State: NY ZIP: 115766. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account8. Deposit account number: 03-2468

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Elizabeth Collard Richter

Name of Person Signing

Signature

March 25, 2004

Date

Total number of pages including cover sheet, attachments, and document: 2

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

name and
address of
assignee
Siltronic AG
Hanns-Seidel-Platz 4
D-81737 München, Germany

(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to his invention relating to

title of
invention
METHOD AND DEVICE FOR THE PRODUCTION OF A SILICON SINGLE CRYSTAL,
SILICON SINGLE CRYSTAL, AND SILICON SEMICONDUCTOR WAFERS WITH
DETERMINED DEFECT DISTRIBUTIONS

as set forth in his United States Patent Application

check one
☒ executed concurrently herewith
☐ executed on _____
☐ Serial No. _____ filed _____

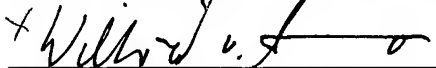
in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees that at any time upon request of said ASSIGNEE, its successors, legal representatives or assigns he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention;

Each of the undersigned hereby grants the firm of COLLARD & ROE, P.C., 1077 Northern Boulevard, Roslyn, New York 11576, U.S.A., the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

X 

Name: Wilfried VON AMMON

Date: 04/03/2004

X 

Name: Martin WEBER

Date: 04.03.2004

X 

Name: Herbert SCHMIDT

Date: 04.03.2004

X 

Name: Janis VIRBULIS

Date: 12/03/2004

X 

Name: Thomas WETZEL

Date: 04.03.2004

names and
signatures
of inventors